



**Delphi Funds**  
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# Order form – fund units

Fund trading is carried out using a future (unknown) price and orders received by 3pm (the cut-off) are dealt with that same day. Sales are allocated the next calculated price and payment normally takes place after 1-3 days. Purchases are allocated a price after the payment received has been value-dated. The information stated below is to be provided so that Delphi Funds can fulfil the obligations determined by the authorities. All the information is dealt with confidentially and in accordance with the Norwegian Personal Data Act. Delphi Funds is a unit of Storebrand Asset Management AS.

Tax Identification Number (TIN):		Company name:	
Unit account number at Storebrand (11 digits):		Address, Postal code, City, Country:	
Create new account (new client)	Telephone:	E-mail:	
The company is subject to tax in a country other than Norway: Yes      No      If yes, insert taxable country and TIN:			
Foreign Financial Institutions as defined by FATCA, please fill in GIIN (global identification number):			

## Subscribe (buy)

## Redeem (sell)

## Switch (sell and buy)

Fund name	+/-	Amount (NOK)	# of units or percentage (column to be used for redemption or switch)

The column +/- is to be used when switching funds – mark (-) for funds that are to be sold and (+) for funds that are to be bought. The last column can be used to state a percentage distribution for fund switches, or to state the number of units to be sold. Provide an explanation on a separate sheet of paper if necessary.

## Payment details (See page 3 for additional information)

### Subscriptions

The total amount payable for subscription shall be transferred in favour of Storebrand Asset Management AS to account number 6003.05.40576 (NOK account). IBAN: NO2260030540576. SWIFT: NDEANOKK. Payments available before 1 p.m. will normally subscribe at same day valuation.

### Redemptions

Account holder:	
IBAN:	Bank:
BIC/SWIFT:	Bank location:

## The assets' objective and origin (for subscription orders)

<b>What is the objective of the investment?</b> Pension savings Other long-term investment Other.....	<b>Does any person closely associated with the company hold a high-ranking political position in a country other than Norway? No</b>  If yes, provide further explanation (on a separate sheet of paper if necessary): ..... .....	<b>What is the origin of the assets? (to be answered by private individuals)</b> Salary, savings account, etc. Inheritance or gift Other .....
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## Beneficial owners (to be filled in by corporate clients (legal persons) when subscribing)

Natural persons that directly or indirectly own or control more than 25 percent of the legal person's operations or assets, or who in some other way own or control these in the last instance (use a separate sheet of paper if necessary).

TIN or D-number:	Name:	I am a US citizen:	Yes	No
Share of company:	Address, Postal code, City, Country	I am a tax liable to other countries than Norway:	If yes, state country and TIN (Tax Identification Number):	
TIN or D-number:	Name:	I am a US citizen:	Yes	No
Share of company:	Address, Postal code, City, Country	I am tax liable to other countries than Norway:	Yes	No
		If yes, state country and TIN (Tax Identification Number):		

## Power of attorney (to be filled in when others are authorized to utilise the balance on behalf of the unit holder)

The following persons are authorized to utilise the balance:	Individually	Jointly
Authorized person 1	Name:	Signature:
TIN or D-number:	Address, Postal code, City, Country:	
Authorized person 2	Navn:	Signature:
TIN or D-number:	Address, Postal code, City, Country:	

## Important information – proof of identity (the following documents must be submitted)

Personal clients	A certified copy of valid proof of the identity of the person(s) signing the form (including any authorized users). This applies to all new clients and existing clients that have not previously provided proof of identity.
Corporate clients	<ol style="list-style-type: none"> <li>(1) a certified copy of a certificate of registration (or a corresponding document from another public register) that is not more than three months old</li> <li>(2) a certified copy of valid proof of the identity of the person(s) signing the form</li> <li>(3) authorisation showing that the person concerned is entitled to act on behalf of the legal person, unless this is stated on the certificate of registration</li> </ol>

## Signature (to be signed by the unit holder, person entitled to sign, and any other payer)

The undersigned confirms that the information in this document is correct, including the personal data and other information on the order form. The undersigned is obliged to report any change in the information. For information on why we ask about citizenship and countries to which the person is subject to taxation, see page 3 of the order form regarding the Foreign Account Tax Compliance Act and Common Reporting Standard. When making subscriptions, the undersigned undertakes to become familiar with the chosen fund's or funds' prospectuses, regulations and key investor information document (KIID).

Date ..... Signature:.....  
*Unit holder or person entitled to sign*

Date ..... Signature:.....  
*Other payer (if applicable)*

For use by Delphi Funds

.....  
*The advisors name (block letters)*

.....  
*Signature*

## 1. General information about mutual fund investments

The historical return is no guarantee of the future return. The future return will depend on factors such as market developments, the manager's skill, the fund's risk level and the costs of subscribing for, managing and redeeming units. The return may be negative as a result of falls in share prices. Please note that an investment in an equity fund is a long-term investment alternative. Before you purchase fund units, you should become familiar with the fund's key information and prospectus. This information and other important information, such as the funds' investment strategies, associated risk, fees, etc, are available at [www.delphifunds.com](http://www.delphifunds.com), or can be ordered free of charge from Delphi Funds. We wish to point out that distributors of our funds may receive a commission from the management company. The Delphi Nordic, Delphi Europe and Delphi Global funds are valued based on the stock-exchange prices at 16:00 CET.

Transactions in Fund units are made at an unknown price, the net asset value ("NAV"). Norwegian regulations require that payment must be received prior to creating new units. If agreed with Storebrand first, an exception can be made for unit linked companies and public pension schemes. Cut-off time for subscription notifications is at 15:00 CET, for same day valuation, provided SWIFT transfer of payment before 13:00 CET. This will allow the transfer agent enough time to identify and verify that the subscription amount has been received. Transfer agent will normally confirm to client the number of units on t+1. For first time subscriptions, all documents should be submitted to the transfer agent well before 15:00 CET due to client due diligence, in order to receive same day valuation. This information include: a) Fully completed subscription form, b) Certified copy of certificate of incorporation (or similar), not more than three months old, c) Certified copy of passport or national identity card issued within the EU belonging to the person(s) signing the subscription form, d) Documentation that the person(s) signing the form is/are entitled to represent the client in relation to Funds (signing authority), e) Information about beneficial owners, if any. By "Certified copy" means a copy certified by a public notary. Cut-off time for redemption notifications is at 15:00 CET, for same day valuation. Transfer agent will normally confirm to client the exact redemption value on t+1. Redemption proceeds will normally be paid within t+3 as this reflects the Fund's settlement cycle for the underlying securities. We require evidence of signing authority for the person(s) submitting redemption instructions. The client must submit written notifications to Storebrand. Signed subscription and redemption instructions may be sent by email, fax, or post. Please note that the deadline for receipt of trade notifications may be changed due to Norwegian public holidays. Make sure you compare your order with the confirmation from Delphi Funds and contact your adviser immediately if there are any discrepancies.

## 2. Proof of identity

The authorities have ordered all financial institutions to verify the proof of identity of all new and existing clients whose identity has not been proven earlier, including unit holders, those authorised to use accounts and payers. All clients whose proof of identity has not previously been verified by Storebrand Asset Management AS must have their proof of identity verified before a client relationship can be established and a trade carried out in accordance with the Norwegian Money Laundering Act. This can be done at the offices of a representative of Delphi Funds. Corporate clients must have their proof of identity verified by a certificate of registration that is not more than three months old. A copy of a valid proof of identity document and/or original certificate of registration is to be sent in a letter to Delphi Funds, Fondsadministrasjonen, Postboks 484, 1327 Lysaker, Norway or as a scanned email attachment to [fond@delphi.no](mailto:fond@delphi.no). If you have any questions, please call our client service centre on +47 22 31 21 65.

## 3. Storebrand processes your personal data

Storebrand, which owns the Delphi Funds, collects and processes your personal data in order to establish and fulfil our contract with you, determine the correct terms and conditions and administer your customer relationship with us. Your national ID number ensures your identity and correct reporting to public authorities. We store the information for as long as you are our customer. The information is deleted when we can no longer have any obligations pursuant to this contract or any legislation. Read more about your rights, such as the right to access, rectify and delete your data, in our data protection declaration at [storebrand.no/en/online-security-and-privacy](http://storebrand.no/en/online-security-and-privacy). Storebrand Asset Management AS is responsible for processing your personal data. If you have any questions, send an email to Storebrand's Data Protection Officer: [personvernombud@storebrand.no](mailto:personvernombud@storebrand.no).

## 4. Other information

Marketing abroad: The information in this order form is only intended for investors that are subject to jurisdictions where relevant funds have a marketing licence or where no marketing licence is required. The mutual funds are not registered in accordance with the United States Securities Act of 1933 or the U. S. Investment Companies Act 1940 or another valid US Act. For this reason, the mutual funds may not be marketed to, procured for or in any other way distributed to persons in the USA.

Citizenship/The company's country of residence/Tax country: Delphi Funds and Storebrand are subject to a duty to obtain a declaration from clients establishing a new account that must be reported to the authorities. This is in accordance with the agreement Norway has signed with both the USA (through the Foreign Account Tax Compliance Act) and OECD member countries (through the Common Reporting Standard). The objective is to obtain information to reveal whether our clients have a duty to pay tax to countries other than Norway. In such cases, the information is to be reported to the tax authorities in the countries in question. There is a duty to report any change in the information. See [www.skatteetaten.no](http://www.skatteetaten.no) for further information.

Beneficial owners: If the order is a subscription for a legal person (corporate client), please state the name, date of birth, personal ID number and contact information of the person(s) that directly or indirectly own or control more than 25 per cent of the legal person's operations or assets or that in some other way own or control the legal person in the last instance.

The origin and purpose of the investments: Both companies and private individuals that buy fund units are asked to state the purpose of the investments. Private individuals are also asked to state the origin of the invested amount because this is relevant for large investments. This is statutory information following from the money laundering rules. Combating money laundering and the financing of terrorist activity is highly prioritised in international society. The objective is to protect the international finance system's integrity and stability, undermine the financing of terrorism and make it difficult for criminals to retain the proceeds of their crimes.

## Delphi Funds

<b>Fund name</b>	<b>ISIN</b>	<b>Management fee (annual)</b>	<b>Minimum subscription amount (NOK)</b>
Delphi Global	NO0010317282	0-4 %	1000
Delphi Global Valutasikret	NO0010776362	0,02-4,02 %	1000
Delphi Europe	NO0010039712	2.00 %	1000
Delphi Nordic	NO0010039670	2.00 %	1000
Delphi Norge	NO0010039688	2.00 %	1000
Delphi Kombinasjon	NO0010039696	1.25 %	1000

*A maximum of 0.2 percent transaction fees may be charged for subscriptions and redemptions.*